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## PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q86297

Kinji KAYANUMA

Appln. No.: 10/525,098

Group Art Unit: 2872

Confirmation No.: 5623

Examiner: Not Assigned

Filed: February 17, 2005

For: OPTICAL RECORDING MEDIUM, AND INFORMATION RECORDING METHOD  
AND RECORDING UNIT THEREOF

#### **INFORMATION DISCLOSURE STATEMENT** **UNDER 37 C.F.R. §§ 1.97 and 1.98**

#### **MAIL STOP AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. Japanese Patent Application Publication No. 2002-157747, published May 31, 2002.
2. United States Patent Application Publication No. 2001-0026515, published October 4, 2001.
3. Japanese Patent Application Publication No. 10-91967, published April 10, 1998 (previously submitted).
4. Japanese Patent Application Publication No. 10-134357, published May 22, 1998 (previously submitted).

One copy of listed reference 1 is submitted herewith. Copies of listed references 2-4 are not submitted herewith, as reference 2 is a US Patent Publication and references 3 and 4 were previously submitted.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/525,098

Atty. Docket No.: Q86297

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of the English Translation of the International Preliminary Examination Report issued in the corresponding International Application which cites such documents.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

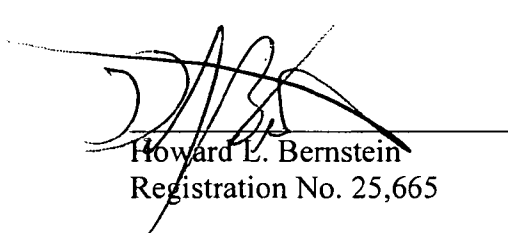
Respectfully submitted,

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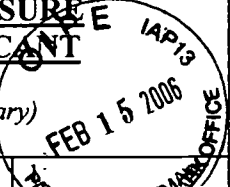
WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

  
Howard E. Bernstein  
Registration No. 25,665

Date: February 15, 2006

Substitute for Form 1449 A & B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			<i>Complete if Known</i>	
			Application Number	10/525,098
			Confirmation Number	5623
			Filing Date	February 17, 2005
			First Named Inventor	Kinji KAYANUMA
			Art Unit	2872
			Examiner Name	Not Assigned
			Attorney Docket Number	Q86297
Sheet	1	of		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
/H.H./		US 2001-0026515	A1	10-04-2001	Mockizuki et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
/H.H./		JP	2002-157747	A	05-31-2002	Matsushita Electric Industrial co. Ltd.	
/H.H./		JP	10-91967	A	04-10-1998	Hitachi, Ltd.	
/H.H./		JP	10-134357	A	05-22-1998	Victor Company of Japan, Ltd.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature	/Henok Heyi/	Date Considered	03/11/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.